Software AG

Business Partner Self-Assessment & Code Of Conduct For Software AG Partners

Confidentiality Statement

EXHIBITS & FORMS MAY 2016

All the information you provide us will be used for this evaluation only. We will not transfer it to any external organizations or companies. Your information will be used in accordance with our Data Protection Policies.

Basic Company Information			
Date:	_____		
Name and legal form of your company:	_____		
D-U-N-S Number (if available):	_____	Registration Number:	_____
Company mailing address:	_____	Website:	_____
Founding date:	_____	Current no. of employees	_____

Shareholder Information

Annual Turnover (last 3 years/local currency):

Last year: _____

Last year -1 _____

Last year -2 _____

Main Shareholders (if known)

Please confirm that employees of Software AG are neither known shareholders nor in a management function in your company.

Confirmed   Not Confirmed

If NOT confirmed, please describe the details:

Briefly describe your business purpose/track record.

In the past 10 years, has your company been subject to allegations regarding corruption or bribery?

Yes   No

If YES, has your company faced any corresponding lawsuit? Describe.

To what degree do you manage these Corporate Responsibility related issues in your company?

	world class, no issues	high level	standard level	low standard level	no standard level	we have not started yet
Legal Compliance						
Prohibition of corruption and bribery						
A business code of conduct and ethics is available in our company						
Corporate Responsibility in your Supply Chain						
Respect for the basic human rights of employees						
Prohibition of child labor						

software AG

Code Of Conduct For Software AG Partners

This Code of Conduct defines the basic requirements for partners of Software AG concerning their responsibilities towards their stakeholders.

The Partner Declares Herewith:

Legal compliance

To comply with the laws of the applicable legal system(s).

Prohibition of corruption and bribery

To tolerate no form of and not to engage in any form of corruption or bribery, including any payment or other form of benefit conferred on any government official for the purpose of influencing decision making in violation of law.

Respect for the basic human rights of employees

To promote equal opportunities for and treatment of its employees irrespective of skin color, race, nationality, social background, disabilities, sexual orientation, political or religious conviction, sex or age;

To respect the personal dignity, privacy and rights of each individual;

To refuse to tolerate any unacceptable treatment of employees, such as mental cruelty, sexual harassment or discrimination;

To prohibit behavior including gestures, language and physical contact, that is sexual, coercive, threatening, abusive or exploitative.

Health and safety of employees

To take responsibility for the health and safety of its employees.

Supply chain

To use reasonable efforts to promote among its suppliers & partners compliance with this Code of Conduct;

To comply with the principles of non-discrimination with regard to suppliers & partner selection and treatment.

Enforcement

This Code of Conduct for Software AG partners points out the importance of honest business conduct and solid business ethics. Our standards can be met only with the corporation of all our partners. Software AG trusts that the partner will recognize that he must adhere to the standards of this Code. However, Software AG reserves the right to assess and monitor on an ongoing basis the partner's practices regarding this Code of Conduct. Any violation of this Code will constitute the basis for Software AG's right to immediately terminate the partner agreement.

Signature

Signed: _____

Name (print): _____   Date: _____

Title/Function: _____   Location: _____

Company Seal:

![Software AG logo]